

Virginia Polytechnic Institute and State University
Intellectual Properties Committee
January 15, 2003

OWNERSHIP SUBCOMMITTEE

The Intellectual Properties Ownership Subcommittee met January 15, 2003 with the following members present: Dr. Ira Jacobs and Dr. Tim Pickering and Dr. Tom Caceci. The Ownership Subcommittee didn't have any business to discuss.

FULL COMMITTEE

The Intellectual Properties Committee met January 15, 2003, with the following members present: Dr. Tim Pickering, Dr. Craig Nessler, Dr. Ira Jacobs, Dr. Joe Falkinham, Dr. Betty Heat-Camp, Dr. Tom Caceci and Mr. Mike Martin. Ms. Debbie Nester recorded the minutes.

Call to Order

Dr. Pickering called the Intellectual Properties Committee meeting to order at 12:07 p.m.

Approval of Minutes

Dr. Pickering called for a motion to approve the minutes of November 20, 2002. The motion to accept the minutes was made by Dr. Nessler, seconded by Dr. Joe Falkinham and the motion was unanimously passed.

Summary Reports and Recommendations from Evaluation Coordinators

The following reports and recommendations were received:

- 02.104 Evaluator(s): Drs. Ira Jacobs and Al Wicks
- 02.106 Evaluator(s): Dr. Betty Heath-Camp
- 02.113 Evaluator(s): Drs. Joe Falkinham and Tom Caceci
- 02.114 Evaluator(s): Dr. Ira Jacobs
- 02.116 Evaluator(s): Drs. Joe Falkinham and Tim Pickering
- 02.121 Evaluator(s): Drs. Tom Caceci and Craig Nessler
- 02.125 Evaluator(s): Drs. Tom Caceci and Tim Pickering
- 02.126 Evaluator(s): Dr. Tim Pickering

Comments of the reviewers can be found on file in VTIP office.

Dr. Caceci made a motion to approve the evaluations listed above, Dr. Nessler seconded and the motion was unanimously passed.

Summary Reports and Recommendations Outstanding

- 02.102 Evaluator(s): Drs. Ira Jacobs and Mary Beth Rosson
- 02.103 Evaluator(s): Dr. Al Wicks
- 02.105 Evaluator(s): Dr. Al Wicks
- 02.111 Evaluator(s): Dr. Al Wicks
- 02.115 Evaluator(s): Drs. Ira Jacobs and Al Wicks
- 02.117 Evaluator(s): Drs. J.D. van Wyk and Mary Beth Rosson
- 02.118 Evaluator(s): Dr. Ira Jacobs
- 02.120 Evaluator(s): Drs. Ira Jacobs and Al Wicks
- 02.122 Evaluator(s): Drs. Ira Jacobs and J.D. van Wyk
- 02.124 Evaluator(s): Drs. Ira Jacobs and J.D. van Wyk
- 02.127 Evaluator(s): Dr. Ira Jacobs

No Assignment of Evaluations

Other Business

Dr. Pickering announced that the Commission on Research referred the revised Intellectual Property Policy back to the committee for further consideration. Dr. Pickering said that Dr. Blair suggested that they give the IP 101 presentation for the IP committee which runs approximately 45 minutes. Dr. Pickering asked if they preferred a long meeting or a separate meeting for the presentation and they preferred to have a long meeting.

Adjournment

Dr. Pickering announced the meeting adjourned at 1:05 p.m.

Virginia Polytechnic Institute and State University
Intellectual Properties Committee
February 19, 2003

OWNERSHIP SUBCOMMITTEE

The Intellectual Properties Ownership Subcommittee met February 19, 2003 with the following members present: Dr. Jim Blair (in Mr. Ken Miller's stead), Dr. Ira Jacobs, Dr. Al Wicks, and Dr. Tim Pickering. Dr. Blair called the Ownership Subcommittee meeting to order at 12:06 p.m. Disclosures 02.088, 03.001, 03.002, 03.004 through 03.013 were presented for ownership determination. Dr. Blair made a motion that all disclosures be deemed university owned. Dr. Wicks seconded the motion and the motion was unanimously passed.

The subcommittee meeting adjourned at 12:08 pm.

FULL COMMITTEE

The Intellectual Properties Committee met February 19, 2003, with the following members present: Dr. Jim Blair, Dr. Tim Pickering, Dr. Craig Nessler, Dr. Ira Jacobs, Dr. Betty Heath-Camp, Dr. Mary Beth Rosson, Ms. Kay Heidbreder, Dr. Al Wicks and Mr. Mike Martin. Dr. Keith Jones and Dr. Kumar Krishnan attended as guests. Ms. Debbi Lucas recorded the minutes.

Call to Order

Dr. Blair called the Intellectual Properties Committee meeting to order at 12:09 p.m.

Approval of Minutes

Dr. Blair called for a motion to approve the minutes of January 15, 2003. The motion to accept the minutes was made by Dr. Nessler, seconded by Dr. Rosson and the motion was unanimously passed. Dr. Blair made a motion that the full committee accepts the ownership subcommittee's recommendation that all disclosures presented be deemed university owned. Dr. Tim Pickering seconded the motion and the motion was unanimously passed.

Other Business

Dr. Blair advised that Ms. Kay Heidbreder is in attendance today in regards to an issue that may be of interest to this committee.

Ms. Heidbreder explained that years ago a lawsuit was filed against the university and VTIP by a company called IRS. She gave some background on the lawsuit and advised that at the conclusion of the trial three weeks ago, the jury found in favor of the plaintiff and a judgment was entered against VT and VTIP in the amount of \$110,000.00. Ms. Heidbreder explained that this lawsuit has helped the university understand the need to be diligent in collecting past due monies owed to the

university; to be careful of who sponsors are, and to be careful of definitions in agreements.

Dr. Blair commented on the Commission on Research's request that the IP Policy be re-written. Dr. Blair advised that this is not the best time to go back to faculty, etc. and attempt to re-write the IP Policy. Dr. Blair is of the opinion that there is a written policy in place right now. If there are any gray areas that arise, this committee is delegated with the task of resolving those issues.

Dr. Blair reminded this committee that the IP 101 presentation should be given to this committee and the committee agreed. Dr. Blair requested that the IP 101 presentation be added to next month's agenda.

Dr. Blair commented on an issue related to issued patents. There are times when it comes to VTIP's attention that patents have issued that list faculty members on them, but did not flow through VTIP as a result of a submitted disclosure. In the past, VTIP would send a polite letter to those inventors requesting an explanation as to why VTIP was not aware of this patent. The majority of the time, the inventors would advise VTIP that this patent was a result of the inventor being a consultant. From now on, when this issue arises, a letter to the inventors will come from this committee.

Summary Reports and Recommendations from Evaluation Coordinators

The following reports and recommendations were received:

02.102 Evaluator(s): Drs. Ira Jacobs and Mary Beth Rosson

02.117 Evaluator(s): Drs. J.D. van Wyk and Mary Beth Rosson

Comments of the reviewers can be found on file in VTIP office.

Dr. Pickering made a motion to approve the evaluations listed above, Dr. Rosson seconded and the motion was unanimously passed.

Summary Reports and Recommendations Outstanding

02.103 Evaluator(s): Dr. Al Wicks

02.105 Evaluator(s): Dr. Al Wicks

02.111 Evaluator(s): Dr. Al Wicks

02.115 Evaluator(s): Drs. Ira Jacobs and Al Wicks

02.118 Evaluator(s): Dr. J.D. van Wyk

- 02.120 Evaluator(s): Drs. Ira Jacobs and Al Wicks
02.122 Evaluator(s): Drs. Ira Jacobs and J.D. van Wyk
02.124 Evaluator(s): Drs. Ira Jacobs and J.D. van Wyk
02.127 Evaluator(s): Dr. Ira Jacobs

Assignment of Evaluation Coordinators

- 02.088 Evaluator(s): Drs. Ira Jacobs and J.D. van Wyk
03.001 Evaluator(s): Drs. Joe Falkinham and Craig Nessler
03.002 Evaluator(s): Drs. Ira Jacobs and J.D. van Wyk
03.004 Evaluator(s): Drs. Ira Jacobs and Tim Pickering
03.005 No evaluator assigned
03.006 No evaluator assigned
03.007 No evaluator assigned
03.008 No evaluator assigned
03.009 Evaluator(s): Dr. Joe Falkinham
03.010 Evaluator(s): Drs. J.D. van Wyk and Tom Caceci
03.011 Evaluator(s): Drs. Ira Jacobs and Tom Caceci
03.012 Evaluator(s): Drs. Ira Jacobs and J.D. van Wyk
03.013 No evaluator assigned

Adjournment

Dr. Blair announced the meeting adjourned at 1:00 p.m.

Virginia Polytechnic Institute and State University
Intellectual Properties Committee
March 19, 2003

OWNERSHIP SUBCOMMITTEE

The Intellectual Properties Ownership Subcommittee met March 19, 2003 with the following members present: Dr. Jim Blair, Mr. Ken Miller, Dr. Ira Jacobs, Dr. Al Wicks, and Dr. Tim Pickering. Dr. Blair called the Ownership Subcommittee meeting to order at 12:01 p.m. Disclosures 03.003, 03.014, 03.015, 03.016, 03.025, 03.026, 03.027, 03.028, 03.029, 03.031 and 03.033 were presented for ownership determination. Dr. Blair called for a motion that all disclosures be deemed university owned. The motion was made by Mr. Martin, seconded by Dr. Wicks and the motion was unanimously passed.

The subcommittee meeting adjourned at 12:02 pm.

FULL COMMITTEE

The Intellectual Properties Committee met March 19, 2003, with the following members present: Dr. Jim Blair, Mr. Ken Miller, Dr. Tim Pickering, Dr. Craig Nessler, Dr. Ira Jacobs, Dr. Betty Heath-Camp, Dr. Mary Beth Rosson, Ms. Kay Heidebreder, Dr. Al Wicks, Dr. J.D. Van Wyk, Mr. Mark Sumner and Mr. Mike Martin. Dr. Keith Jones, Ms. Carol Roberson and Dr. Kumar Krishnan attended as guests. Ms. Debbi Lucas recorded the minutes.

Call to Order

Dr. Blair called the Intellectual Properties Committee meeting to order at 12:03 p.m. Dr. Blair advised that it will be necessary for him to depart this meeting directly at 1:00, so the IP 101 presentation scheduled for today will be tabled for another meeting. He also advised that he would like to postpone the evaluation reports to next month's meeting in order to allot time for an important issue to be discussed today.

Approval of Minutes

Dr. Blair called for a motion to approve the minutes of February 19, 2003. The motion to accept the minutes was made by Dr. Nessler, seconded by Dr. Pickering and the motion was unanimously passed.

Other Business

Dr. Blair brought up for discussion an issue he commented on at last month's meeting regarding issued patents that list faculty members on them, but did not flow through VTIP as a result of a submitted disclosure. It had previously been decided that this matter should be handled through this committee. At present,

there is one such issue pending with one VT faculty member and two employees listed on the patent, but VTIP had no record of said patent. Dr. Blair sent letters to these individuals advising of VT's awareness of this patent and requested explanation of why this IP did not flow through VTIP. Two employees have not responded. The faculty member responded that no university resources were used and that the IP resulted from his consulting. Ms. Heidbreder has been unable to find any record of consulting activity being requested or approved for this faculty member.

The issue at hand is to determine if these cases should be brought before this committee to handle and resolve, and if so, what steps need to be taken to resolve these issues. Much discussion ensued regarding the current IP policy, the current consulting policy and how these issues should be handled. Comments were made that the current policies and guidelines regarding consulting and the like are not clearly defined and that one of the functions of this committee should be to ensure that said policies are more clearly defined. The determination was made that this committee needs to address this issue and then publish procedures to help faculty and staff understand their responsibilities.

Dr. Blair called for a motion that the Ownership Review Group set up meetings with the faculty members involved and their department head and come back to this committee with a recommendation. Dr. Blair also called for a motion that a committee be convened to look into the current policies and guidelines as they relate to consulting and conflict of interest. Dr. Al Wicks, Mr. Mike Martin, Dr. Kumar Krishnan, Ms. Kay Heidbreder and Dr. Ira Jacobs volunteered to serve on this committee. The motions were made by Dr. Nessler, seconded by Dr. Heath-Camp and the motions were unanimously passed.

Summary Reports and Recommendations from Evaluation Coordinators

The following reports and recommendations were received:

02.127 Evaluator(s): Dr. Ira Jacobs

The remainder of the evaluation reports received was tabled until the next meeting.

Comments of the reviewers can be found on file in VTIP office.

Summary Reports and Recommendations Outstanding

02.088 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk

02.103 Evaluator(s): Dr. Al Wicks (*received; tabled to next meeting*)

02.105 Evaluator(s): Dr. Al Wicks (*received; tabled to next meeting*)

02.111 Evaluator(s): Dr. Al Wicks (*received; tabled to next meeting*)

- 02.115 Evaluator(s): Drs. Ira Jacobs and Al Wicks
- 02.118 Evaluator(s): Dr. J.D. Van Wyk
- 02.120 Evaluator(s): Drs. Ira Jacobs and Al Wicks
- 02.122 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk
- 02.124 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk
- 03.001 Evaluator(s): Drs. Joe Falkinham and Craig Nessler
(received; tabled to next meeting)
- 03.002 Evaluator(s): Drs. Ira Jacobs
- 03.004 Evaluator(s): Drs. Ira Jacobs and Tim Pickering
(received; tabled to next meeting)
- 03.009 Evaluator(s): Dr. Joe Falkinham *(received; tabled to next meeting)*
- 03.010 Evaluator(s): Dr. Ira Jacobs
- 03.011 Evaluator(s): Drs. Ira Jacobs and Tom Caceci
- 03.012 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk

Assignment of Evaluation Coordinators

- 03.003 Evaluator(s): Dr. Ira Jacobs
- 03.014 Evaluator(s): Dr. J.D. Van Wyk
- 03.015 Evaluator(s): Dr. J.D. Van Wyk
- 03.016 Evaluator(s): Dr. J.D. Van Wyk
- 03.025 Evaluator(s): Dr. Tim Pickering
- 03.026 Evaluator(s): Mr. Mark Sumner
- 03.027 Evaluator(s): Drs. Ira Jacobs and Joe Falkinham
- 03.028 Evaluator(s): Drs. Tim Pickering and Tom Caceci
- 03.029 Evaluator(s): Drs. Tim Pickering and Tom Caceci

03.031 Evaluator(s): Dr. Tim Pickering

03.033 Evaluator(s): Dr. Joe Falkinham

Adjournment

Dr. Blair announced the meeting adjourned at 1:00 p.m.

Virginia Polytechnic Institute and State University
Intellectual Properties Committee
April 16, 2003

OWNERSHIP SUBCOMMITTEE

The Intellectual Properties Ownership Subcommittee met April 16, 2003 with the following members present: Dr. Jim Blair, Mr. Ken Miller, Dr. Ira Jacobs, Dr. Tom Caceci, Dr. Al Wicks, and Dr. Tim Pickering. Dr. Blair called the Ownership Subcommittee meeting to order at 12:01 p.m. Disclosures 03.032, 03.034 through 03.052, 03.054 through 03.058 and 03.061 were presented for ownership determination. Dr. Blair called for a motion that all disclosures be deemed university owned. The motion was made by Dr. Pickering, seconded by Dr. Caceci and the motion was unanimously passed.

The subcommittee meeting adjourned at 12:02 pm.

FULL COMMITTEE

The Intellectual Properties Committee met April 16, 2003, with the following members present: Dr. Jim Blair, Mr. Ken Miller, Dr. Tim Pickering, Dr. Craig Nessler, Dr. Ira Jacobs, Dr. Betty Heath-Camp, Dr. Mary Beth Rosson, Dr. Al Wicks, Mr. Mark Sumner, Dr. Joe Falkinham, and Dr. Tom Caceci. Dr. Keith Jones and Dr. Kumar Krishnan attended as guests. Ms. Debbi Lucas recorded the minutes.

Call to Order

Dr. Blair called the Intellectual Properties Committee meeting to order at 12:03 p.m. Dr. Blair advised that he would like to make a change to the agenda. He wants to discuss the outstanding issue regarding patents with VT faculty members not assigned to VT, and to present IP 101, so he would like to table the evaluation reports to the next meeting.

Approval of Minutes

Dr. Blair called for a motion to approve the minutes of March 19, 2003. The motion to accept the minutes was made by Dr. Falkinham, seconded by Dr. Rosson and the motion was unanimously passed.

Other Business

Dr. Blair brought up for discussion the issue he commented on at last month's meeting regarding issued patents that list faculty members on them, but did not flow through VTIP as a result of a submitted disclosure. Dr. Blair handed out copies of documents pertaining to this issue. It has been determined by VTIP that there are a significant number of these patents that are not listed to VT. The question is should this committee and/or VTIP continue checking into these and if so, what

steps need to be taken to follow-up. Discussion ensued regarding one example of this issue that has been brought to this committee's attention and that Dr. Blair has initiated action and is in the process of being resolved. After much discussion, this committee came to the conclusion that the current consulting policy should probably be revised to more clearly address these issues. It was also recommended not to pursue looking into any new examples, but to follow-up with the examples in hand using a softer approach to the faculty members involved in order to gain information from the responses from the inventors, which could be used in re-writing the IP and/or consulting policies. Dr. Blair moved for a motion to approve these recommendations. Motion was made by Dr. Falkinham, seconded by Dr. Wicks and the motion was unanimously passed.

Dr. Blair then proceeded with the IP 101 presentation. This presentation was given to major groups on campus and Dr. Blair wanted this committee to see the presentation. Dr. Blair advised that the presentation is currently on the web.

Summary Reports and Recommendations from Evaluation Coordinators

The following reports and recommendations were received but were tabled until the next meeting:

- 02.088 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk
- 02.103 Evaluator(s): Dr. Al Wicks
- 02.105 Evaluator(s): Dr. Al Wicks
- 02.111 Evaluator(s): Dr. Al Wicks
- 02.118 Evaluator(s): Dr. J.D. Van Wyk
- 02.122 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk
- 03.001 Evaluator(s): Drs. Joe Falkinham and Craig Nessler
- 03.002 Evaluator(s): Drs. Ira Jacobs
- 03.004 Evaluator(s): Drs. Ira Jacobs and Tim Pickering
- 03.009 Evaluator(s): Dr. Joe Falkinham
- 03.025 Evaluator(s): Dr. Tim Pickering
- 03.027 Evaluator(s): Drs. Ira Jacobs and Joe Falkinham
- 03.028 Evaluator(s): Drs. Tim Pickering and Tom Caceci

- 03.029 Evaluator(s): Drs. Tim Pickering and Tom Caceci
03.031 Evaluator(s): Dr. Tim Pickering
03.033 Evaluator(s): Dr. Joe Falkinham

Comments of the reviewers can be found on file in VTIP office.

Summary Reports and Recommendations Outstanding

- 02.115 Evaluator(s): Drs. Ira Jacobs and Al Wicks
02.120 Evaluator(s): Drs. Ira Jacobs and Al Wicks
02.124 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk
03.003 Evaluator(s): Dr. Ira Jacobs
03.010 Evaluator(s): Dr. Ira Jacobs
03.011 Evaluator(s): Drs. Ira Jacobs and Tom Caceci
03.012 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk
03.014 Evaluator(s): Dr. J.D. Van Wyk
03.015 Evaluator(s): Dr. J.D. Van Wyk
03.016 Evaluator(s): Dr. J.D. Van Wyk
03.026 Evaluator(s): Mr. Mark Sumner

Assignment of Evaluation Coordinators

- 03.032 Evaluator(s): Dr. Ira Jacobs
03.034 Evaluator(s): Dr. Al Wicks
03.035 Evaluator(s): Drs. Al Wicks and Mark Sumner
03.036 – 03.049 No evaluator assigned; already licensed
03.050 Evaluator(s): Dr. Al Wicks
03.051 Evaluator(s): Dr. Betty Heath-Camp
03.052 Evaluator(s): Dr. Al Wicks

- 03.054 Evaluator(s): Dr. Ira Jacobs
- 03.055 Evaluator(s): Dr. Ira Jacobs
- 03.056 Evaluator(s): Dr. Mary Beth Rosson
- 03.057 Evaluator(s): Dr. J.D. Van Wyk
- 03.058 Evaluator(s): Dr. Al Wicks
- 03.061 Evaluator(s): Mr. Mark Sumner

Adjournment

Dr. Blair announced the meeting adjourned at 1:25 p.m.

Virginia Polytechnic Institute and State University
Intellectual Properties Committee
May 21, 2003

OWNERSHIP SUBCOMMITTEE

The Intellectual Properties Ownership Subcommittee met May 21, 2003 with the following members present: Dr. Tim Pickering (in Dr. Jim Blair's stead), Mr. Ken Miller, and Dr. Tom Caceci. Dr. Pickering called the Ownership Subcommittee meeting to order at 12:00 p.m. Disclosures 03.059, 03.060, 03.063 through 03.072 were presented for ownership determination. Dr. Pickering called for a motion that all disclosures be deemed university owned. The motion was made by Mr. Miller, seconded by Dr. Caceci and the motion was unanimously passed.

The subcommittee meeting adjourned at 12:02 pm.

FULL COMMITTEE

The Intellectual Properties Committee met May 21, 2003, with the following members present: Dr. Tim Pickering (in Dr. Jim Blair's stead), Mr. Ken Miller, Dr. Craig Nessler, Mr. Mark Sumner, Dr. J.D. Van Wyk, Mr. Mike Martin, and Dr. Tom Caceci. Dr. Keith Jones and Dr. Kumar Krishnan attended as guests. Ms. Debbi Lucas recorded the minutes.

Call to Order

Dr. Pickering called the Intellectual Properties Committee meeting to order at 12:03 p.m.

Approval of Minutes

Dr. Pickering called for a motion to approve the minutes of April 16, 2003. The motion to accept the minutes was made by Mr. Martin, seconded by Dr. Caceci and the motion was unanimously passed.

Summary Reports and Recommendations from Evaluation Coordinators

The following reports and recommendations were received:

- 02.088 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk
- 02.118 Evaluator(s): Dr. J.D. Van Wyk
- 02.122 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk

- 03.001 Evaluator(s): Drs. Joe Falkinham and Craig Nessler
- 03.004 Evaluator(s): Drs. Ira Jacobs and Tim Pickering
- 03.011 Evaluator(s): Drs. Ira Jacobs and Tom Caceci
- 03.014 Evaluator(s): Dr. J.D. Van Wyk
- 03.015 Evaluator(s): Dr. J.D. Van Wyk
- 03.016 Evaluator(s): Dr. J.D. Van Wyk
- 03.025 Evaluator(s): Dr. Tim Pickering
- 03.028 Evaluator(s): Drs. Tim Pickering and Tom Caceci
- 03.029 Evaluator(s): Drs. Tim Pickering and Tom Caceci
- 03.031 Evaluator(s): Dr. Tim Pickering

Comments of the reviewers can be found on file in VTIP office.

The following reports and recommendations were received but were tabled until the next meeting:

- 02.103 Evaluator(s): Dr. Al Wicks
- 02.105 Evaluator(s): Dr. Al Wicks
- 02.111 Evaluator(s): Dr. Al Wicks
- 03.002 Evaluator(s): Drs. Ira Jacobs
- 03.009 Evaluator(s): Dr. Joe Falkinham
- 03.027 Evaluator(s): Drs. Ira Jacobs and Joe Falkinham
- 03.033 Evaluator(s): Dr. Joe Falkinham

Summary Reports and Recommendations Outstanding

- 02.115 Evaluator(s): Drs. Ira Jacobs and Al Wicks
- 02.120 Evaluator(s): Drs. Ira Jacobs and Al Wicks
- 02.124 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk

- 03.003 Evaluator(s): Dr. Ira Jacobs
- 03.010 Evaluator(s): Dr. Ira Jacobs
- 03.012 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk
- 03.026 Evaluator(s): Mr. Mark Sumner
- 03.032 Evaluator(s): Dr. Ira Jacobs
- 03.034 Evaluator(s): Dr. Al Wicks
- 03.035 Evaluator(s): Drs. Al Wicks and Mark Sumner
- 03.050 Evaluator(s): Dr. Al Wicks
- 03.051 Evaluator(s): Dr. Betty Heath-Camp
- 03.052 Evaluator(s): Dr. Al Wicks
- 03.054 Evaluator(s): Dr. Ira Jacobs
- 03.055 Evaluator(s): Dr. Ira Jacobs
- 03.056 Evaluator(s): Dr. Mary Beth Rosson
- 03.058 Evaluator(s): Dr. Al Wicks

Assignment of Evaluation Coordinators

- 03.059 Evaluator(s): Drs. Joe Falkinham and Al Wicks
- 03.060 Evaluator(s): Dr. J.D. Van Wyk
- 03.063 Evaluator(s): Drs. Craig Nessler and Joe Falkinham
- 03.064 Evaluator(s): Drs. Ira Jacobs and Tom Caceci
- 03.065 Evaluator(s): Dr. J.D. Van Wyk
- 03.066 Evaluator(s): Dr. Ira Jacobs
- 03.067 Evaluator(s): Dr. Mary Beth Rosson
- 03.068 Evaluator(s): Dr. Tom Caceci
- 03.069 Evaluator(s): Dr. Tim Pickering

03.070 Evaluator(s): Drs. Tim Pickering and J.D. Van Wyk

03.072 Evaluator(s): Dr. Tim Pickering

Other Business

Mr. Martin reported that the disclosure rate is ahead of last year's rate. He commented that this committee has done a wonderful job of reviewing, evaluating and providing input on disclosures received. VTIP is in severe budget restraints, partly due to a reduction in royalty income by 40-50%. So this committee's input will continue to be of great need because there will be an increased need to concentrate on the value of disclosures. Dr. Blair has authorized the use of a graduate student in Business to assist IPC evaluators with literature searches, patent searches, etc.

Dr. Pickering advised that the subcommittee appointed to develop information for inventors about questions around IP policy, Conflict of Interest and Consulting has had one meeting and more to follow. They will draft answers to frequently asked questions to bring before this committee. Ms. Roberson has been working on an IP pamphlet and will bring the draft to this committee for review.

Adjournment

Dr. Pickering announced the meeting adjourned at 12:47 p.m.

Virginia Polytechnic Institute and State University
Intellectual Properties Committee
June 18, 2003

OWNERSHIP SUBCOMMITTEE

The Intellectual Properties Ownership Subcommittee met June 18, 2003 with the following members present: Dr. Tim Pickering (in Dr. Jim Blair's stead), Mr. Ken Miller, and Dr. Ira Jacobs. Dr. Pickering called the Ownership Subcommittee meeting to order at 12:06 p.m. Disclosures 03.074 through 03.082 were presented for ownership determination. Dr. Pickering called for a motion that all disclosures be deemed university owned. The motion was made by Mr. Miller, seconded by Dr. Jacobs and the motion was unanimously passed.

The subcommittee meeting adjourned at 12:08 pm.

FULL COMMITTEE

The Intellectual Properties Committee met June 18, 2003, with the following members present: Dr. Tim Pickering (in Dr. Jim Blair's stead), Mr. Ken Miller, Dr. Craig Nessler, Mr. Mike Martin, and Dr. Joe Falkinham. Dr. Keith Jones attended as guest. Ms. Debbi Lucas recorded the minutes.

Call to Order

Dr. Pickering called the Intellectual Properties Committee meeting to order at 12:09 p.m.

Approval of Minutes

Dr. Pickering called for a motion to approve the minutes of May 21, 2003. The motion to accept the minutes was made by Dr. Nessler, seconded by Dr. Falkinham and the motion was unanimously passed.

Summary Reports and Recommendations from Evaluation Coordinators

The following reports and recommendations were received:

- 03.003 Evaluator(s): Dr. Ira Jacobs
- 03.010 Evaluator(s): Dr. Ira Jacobs
- 03.012 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk
- 03.027 Evaluator(s): Drs. Ira Jacobs and Joe Falkinham
- 03.032 Evaluator(s): Dr. Ira Jacobs

- 03.054 Evaluator(s): Dr. Ira Jacobs
- 03.055 Evaluator(s): Dr. Ira Jacobs
- 03.059 Evaluator(s): Drs. Joe Falkinham and Al Wicks
- 03.063 Evaluator(s): Drs. Craig Nessler and Joe Falkinham
- 03.066 Evaluator(s): Dr. Ira Jacobs

Comments of the reviewers can be found on file in VTIP office.

Dr. Nessler made a motion to approve the evaluations listed above, Dr. Jacobs seconded and the motion was unanimously passed.

Summary Reports and Recommendations Outstanding

- 02.115 Evaluator(s): Drs. Ira Jacobs and Al Wicks
- 02.120 Evaluator(s): Drs. Ira Jacobs and Al Wicks
- 02.124 Evaluator(s): Drs. Ira Jacobs and J.D. Van Wyk
- 03.026 Evaluator(s): Mr. Mark Sumner
- 03.034 Evaluator(s): Dr. Al Wicks
- 03.035 Evaluator(s): Drs. Al Wicks and Mark Sumner
- 03.050 Evaluator(s): Dr. Al Wicks
- 03.052 Evaluator(s): Dr. Al Wicks
- 03.056 Evaluator(s): Dr. Mary Beth Rosson
- 03.058 Evaluator(s): Dr. Al Wicks
- 03.060 Evaluator(s): Dr. J.D. Van Wyk
- 03.064 Evaluator(s): Drs. Ira Jacobs and Tom Caceci
- 03.065 Evaluator(s): Dr. J.D. Van Wyk
- 03.067 Evaluator(s): Dr. Mary Beth Rosson
- 03.068 Evaluator(s): Dr. Tom Caceci

- 03.069 Evaluator(s): Dr. Tim Pickering
- 03.070 Evaluator(s): Drs. Tim Pickering and J.D. Van Wyk
- 03.072 Evaluator(s): Dr. Tim Pickering

Assignment of Evaluation Coordinators

- 03.074 Evaluator(s): Dr. Mark Sumner
- 03.075 Evaluator(s): Dr. Al Wicks
- 03.077 Evaluator(s): Dr. Ira Jacobs
- 03.078 Evaluator(s): Dr. J.D. Van Wyk
- 03.079 Evaluator(s): Drs. Al Wicks and Mark Sumner
- 03.080 Evaluator(s): Dr. Tom Caceci
- 03.081 Evaluator(s): Dr. Ira Jacobs
- 03.082 Evaluator(s): Dr. Tim Pickering

Other Business

Discussion ensued regarding one disclosure presented that lists a classified employee. IP policy states that a classified employee cannot share in royalties unless the work is above and beyond expected duties. The supervisor in this matter sent a letter outlining the job description and stating that the employee went above and beyond and should share in royalties. After much discussion it was determined that there was sufficient information provided to make a determination of royalty sharing for the classified employee, and that the classified employee should share.

Dr. Pickering advised that the subcommittee appointed to develop information for inventors about questions around IP policy, Conflict of Interest and Consulting has met two times and are working with Ms. Carol Roberson on the IP pamphlet. Mr. Martin advised that they are developing a set of Frequently Asked Questions to simplify the process surrounding disclosure submission. Dr. Jacobs reported that his sense of the last discussion was that the subcommittee was in general agreement that the language regarding duty of disclosure should be softened and modified. Mr. Martin suggests that publication of the pamphlet be held until this committee meets again and reviews.

Adjournment

Dr. Pickering announced the meeting adjourned at 1:30 p.m.

**Virginia Polytechnic Institute and State University
Intellectual Properties Committee
July 16, 2003**

OWNERSHIP SUBCOMMITTEE ONLY

The Intellectual Properties Ownership Subcommittee met July 16, 2003 with the following members present: Dr. Jim Blair, and Dr. Tim Pickering. Dr. Keith Jones attended as guest. Mr. Ken Miller was unable to attend and forwarded an email serving as his proxy.

Dr. Blair called the Ownership Subcommittee meeting to order at 11:32 p.m. Disclosures 03.083, 03.086, 03.089, 03.090, 03.091, and 03.092 were presented for ownership determination. Dr. Blair called for a motion that all disclosures be deemed university owned. The motion was made by Dr. Pickering and seconded by Dr. Blair and the motion was unanimously passed.

The subcommittee meeting adjourned at 11:50 pm.

The next full IPC meeting will be held August 20, 2003.

**SEE ATTACHED ADDENDUM FOR ASSIGNMENT OF EVALUATION
COORDINATORS**

**Evaluation Reports Assigned at the July 16, 2003
Ownership Subcommittee Meeting**

<u>Disclosure #</u>	<u>Evaluation Coordinator(s):</u>
03.083	Dr. Tim Pickering
03.086	Dr. Tim Pickering
03.089	Dr. Ira Jacobs
03.090	Dr. Tom Caceci
03.091	Dr. Tim Pickering
03.092	Mr. Mark Sumner