<u>**COMMISSION ON RESEARCH**</u>
<u>February 9, 2011</u>
325 Burruss Hall
3:30-5:00 p.m.

Members Present: Jesus de la Garza (Chair), Lay Nam Chang, Kevin Davy, Dennis Dean, Serkan Gugercin, Terry Herdman, William Huckle,   Roderick Jensen, Delbert Jones, James Jones, William Knocke, Bradley Martens, Steve Martin, Heather Moorefield-Lang, Robert Siegle, Randy Wynne, Chris Zobel and Tammy Bose (recording secretary).

Absent: S.K. De Datta, Brenton Laing, Satyavrata Samavedi, and Robert Walters.

Guests: Roderick Hall (for Robert Walters), Ken Miller, and Sandra Muse.

I. Approval of Agenda: A motion was made to adopt the agenda and the motion was carried.

II. Approval of minutes for CoR meeting December 8, 2010: A motion was made to amend the December 8, 2010 CoR minutes as follows: In section I., the ninth word in the first sentence, "item", was removed. A motion was made to adopt the December 2010 minutes as amended and the motion was carried.

III. Announcements:
       A. Director of Energy Initiatives: R. Hall announced that the new Director of Energy Initiatives is Dr. Satish Kulkarni.   Dr. Kulkarni is currently at Georgetown University as the Associate Vice President of International Affairs. He was previously in the State Department and had been involved with the passage of a major energy-related treaty with India. He had also been a director at Lawrence Berkeley's D.O.E. National Lab. His primary office will be in the National Capital Region office beginning March 1, 2011.
       B. Dr. de la Garza announced that the March meeting date for the CoR has been changed to from March 9 to March 2, 2011.
       C. Dr. de la Garza announced that the April CoR meeting date has been changed from April 13 to April 6, 2011.
       D. Dr. de la Garza reported that President Steger and Provost McNamee called a one-hour meeting with all the commission chairs to provide a briefing on what is happening in Richmond with various budgets and bills issues in the legislature, and to get input from the commission chairs as to what is currently on their docket. The items discussed are in the public domain.
IV. Unfinished Business:
       a. Standing Committee Reports:
              i. Library Committee: Heather Moorefield-Lang reported that the new Dean of Libraries is Tyler Walters. Walters is currently the Associate Dean at Georgia Tech, and has an M.S. in Library Science.   Eileen Hitchenham retired on February 1, 2011, and Paul Metz, the former Head of Collection Development, is the interim dean until Walters starts on March 15,

2011.

The contract for the new cafe has been signed and construction has begun. There is still red tape involved, but the cafe will hopefully be operational sometime this semester.

The new Summon search software will be up and running in the next few weeks. It has being tested and received very positive reviews from the students. It incorporates everything in digital archives without having to be concerned with which database you are in. It will be available for public use on the library web site in the next few weeks.

ii. Personnel Committee: Randy Wynne reported that the ICTAS director review has been started and they have met with Dr. Mahajan. They are in the process of refining the survey questions and will update the commission as necessary.

iii. Special Research Faculty (SRF) Task Force: Bill Huckle reported that the full group met on January 28, and two of the three sub-groups gave reports. The compliance group discussed the possibility of providing short term disability coverage to restricted faculty, which includes most SRF, which is currently not offered. A handout (attached) was provided, showing the formal resolution language to be submitted by the Task Force. The costs would eventually be folded into the fringe benefit rate over a period of years. Following finance review and support it will eventually be presented to the BOV. It was noted that at the December CoR meeting a motion was made for the CoR to endorse the proposal that the university provide short-term disability benefits for restricted faculty as noted above, and was unanimously approved.

Another issue that was presented by the compliance sub-group in the meeting was estimated costs for ensuring compliance with federal FTE regulations. The purpose is to ensure that time spent on writing proposals was not time that was already committed elsewhere under federal funding. This is to allow for a percentage of time to prepare proposals for future work.

iv. Intellectual Properties (IP) Committee: W. Knocke reported that the committee met in January. The committee is conducting a survey of the faculty to discover what their knowledge base is in regard to technology transfer, disclosure, and other IP related topics.

The issue of lecture capture programs was also raised at the meeting. External services come in and record a lecture, then make it available to students for a fee. Questions were raised regarding IP of lecture capture. Someone from IDDL will be coming to a future meeting to help with this issue.

Also raised were issues related to undergraduate IP. Some undergraduate students develop IP in the course of taking classes as student at Virginia Tech. According to policy 13000, if an undergraduate is not being paid by VT and develops IP in their course work, they maintain the IP as long as they used less than $10,000 of VT resources. For example, two under graduate students working on their own developed the technology to enable a blind person to drive a car. The students are talking with VTIP. The committee has discussed developing a document to provide guidance and examples of of best management practices.

v. Institute Reviews: There are no institute reviews scheduled for this year.

b. Research Administration Systems Rodd Hall reported that there has been no changes since December. Extensive testing of the sandbox versions of the systems is still under way.

c. Realignment of University Centers: W. Knocke reported that the realignments continue to move forward. There is nothing new to report.

d. Industrial Affiliate (IA) Program: W. Knocke reported that a task group of 14 members was appointed to look at legal and financial questions related to industrial affiliates. The group met last Monday, and divided into three working groups. Key questions are:
1) Why should IA funds all go through the Virginia Tech Foundation (VTF)?
2) What are the IP issues?
3) What are the membership[benefits?

These questions will be addressed over the next few months and a report will be given to Dr. Walters at the end of the semester.

V. New Business

a. First Reading of Proposed Revision to Policy 13005 A revised policy has been drafted regarding the programmatic elements of centers and institutes. The new policy covers centers and university institutes across all three mission areas. A handout Draft Document Policy 13005, Revision Two, was distributed to the CoR members. Policy 13005 should work in tandem with Policy 3020, with minimal duplication. Since most centers are research centers, the CoR is serving as the "host commission" and is responsible for providing information on the policy to other Commissions whose area of purview is being impacted. The first reading is today and the second will be at the April 6 meeting to give other Commissions an opportunity to be briefed and provide comments. If passed by CoR on April 6, the policy will be sent to University Council for first and second readings prior to the end of the academic year.

The policy addresses procedures for establishing a center, annual reporting, the five-year review process, programmatic oversight, establishing a Director, an Administrator and a Stakeholders Committee, registration with the Provost's office, and reauthorization or termination.

The university recognizes seven institutes, namely VTTI, ICTAS, VBI, FLSI, VTCRI, ISCE, and the newest, Institute for Creativity, Arts and Technology (ICAT). The remaining institutes will adhere to the policies established for centers.

There was discussion about whether the policy prohibits the formation of a university-level research center. Dr. Herdman expressed concern that the requirements are so numerous and difficult that it is virtually impossible to create a new center or institute. Dr. Knocke explained that the Provost wanted some rigor involved in the process (especially for becoming an institute) in order to avoid a proliferation and duplication of centers and institutes, promote collaboration between centers, and allow for the consideration of resource needs.

If passed through governance, implementation of the new policy would occur between Summer 2011 through August 2012. There will be a process to identify which entities need to come into compliance with policies. Centers will be required to develop a plan for a cycle of five-year reviews, and to submit a charter proposal where applicable. Each summer the Provost's Office will notify administrators of entities that are required to perform programmatic reviews based on archived information.

Input for revisions to the policies should be submitted to the Policy Rewrite Committee

as soon as possible.

VI. Adjournment There being no further business, the meeting was adjourned at 4:55 p.m.